

A POINTWISE ESTIMATE FOR THE FOURIER TRANSFORM AND MAXIMA OF A FUNCTION

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ABSTRACT. We show a pointwise estimate for the Fourier transform on the line involving the number of times the function changes monotonicity. The contrapositive of the theorem may be used to find a lower bound to the number of local maxima of a function. We also show two applications of the theorem. The first is the two weight problem for the Fourier transform, and the second is estimating the number of roots of the derivative of a function.

It is a classical result of Dirichlet that if f is a function of bounded variation on the circle, then the Fourier coefficients, $\widehat{f}(n)$, are $O(1/n)$ (and therefore the Fourier series of f converges) [9, p. 128], [10, p. 57]. We present here an inequality that implies a similar result, but for the Fourier transform on the line. Each time a real function changes from increasing to decreasing, we say that the function *crests*. We show an estimate for the Fourier transform of a function in terms of the number times the function crests.

This paper consists of two theorems and two applications. The first application estimates the number of roots of the derivative of a function, and the second application is a weighted Fourier norm inequality.

We first make a quick note on terminology and notation. We use the terms increasing and decreasing in the wider sense; $f(x) \equiv 1$ is both increasing and decreasing everywhere. We define the Fourier transform by the formula $\widehat{f}(z) = \int f(x)e^{-ixz} dx$. Whenever we take the Fourier transform of a function, we assume that $f \in L^1$ so that $\widehat{f}(z)$ is defined for all $z \in \mathbf{R}$. We use the letter C to denote a constant whose value may change at each appearance. Finally, we say that two sets have almost disjoint support if the intersection of their supports has Lebesgue measure zero.

We provide a precise definition of crests below, but the reader may want to think of them as local maxima for the time being.

Theorem 1. *If $f \in L^1$ is nonnegative and $\#crests(f) = N$ then*

$$|\widehat{f}(z)| \leq 4N \int_0^{1/z} f^*(x) dx.$$

for all $z > 0$.

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Here, f^* is the decreasing rearrangement of f . As usual, it is defined by $f^*(x) = \inf\{\alpha > 0 : |\{t : |f(t)| > \alpha\}| \leq x\}$, where $|\cdot|$ of a set represents the Lebesgue measure of that set. We note that if f is also bounded then the theorem implies that $\widehat{f}(z)$ is $O(1/z)$, as in the case of Fourier series [9, p. 128].

In an example below we demonstrate that the appearance of the N in the theorem can not be removed, and in fact, appears as the correct order of magnitude. Therefore, we are able to turn our viewpoint and use the contrapositive to predict the number of times that that the function will crest. Precisely, the contrapositive is the following.

Theorem 2. *If $f \in L^1$ is nonnegative and*

$$Q(z) = \frac{|\widehat{f}(z)|}{4 \int_0^{1/z} f^*(x) dx} > N$$

for some $z > 0$, then $\#crests(f) > N$.

We prove this theorem below. We note that the function Q is continuous since \widehat{f} is continuous and the integral is absolutely continuous. So, if $Q(z) > N$ for some z then it is greater than N in a neighborhood of z . Application 1, below, shows how we may use Theorem 2 to estimate the number of roots of the derivative of a function f .

Definition. A nonnegative function f is said to *crest once* if there exists a point b such that $f(x)$ is increasing for $x < b$ and decreasing for $x > b$. In this case we write $\#crests(f) = 1$.

Definition. We say that a nonnegative function f *crests $N \geq 1$ times* if it can be written as the sum of no fewer than N nonnegative functions with almost disjoint support, each of which crests once. That is,

$$\#crests(f) = \min\{N \in \mathbf{N} : f = \sum_{i=1}^N f_i, f_i \geq 0 \text{ al. disj. supp.}, \#crests(f_i) = 1\}$$

If the set above is empty then we say that $\#crests(f) = \infty$.

The sum of two disjoint characteristic functions like

$$f(x) = \chi_{[0,1]}(x) + \chi_{[2,3]}(x)$$

crests two times. If f is zero on the negative axis and decreasing as x grows then f crests once. For example,

$$f(x) = \begin{cases} 0 & \text{for } x \leq 0 \\ 1/x & \text{for } x > 0 \end{cases}$$

has one crest. A constant function has one crest, and if f is a strictly increasing function, $\#crests(f) = \infty$.

Sometimes the number of crests equals the number of local maxima of a function. Any condition on a function that forces it to be locally strictly increasing and decreasing near a maximum will imply that the number of crests equals the number

of local maxima. For example, if f is a smooth function such that $f'(x) = 0$ implies $f''(x) \neq 0$, then $\#crests(f)$ equals the number of local maxima of f .

Proof of Theorem 2. This is really just the contrapositive of Theorem 1. Suppose $f \in L^1$ is nonnegative and $Q(z) > N$ for some $z > 0$, then by Theorem 1, $\#crests(f) \neq N$. Either $\#crests(f) > N$ or $\#crests(f) < N$. If $\#crests(f) < N$ then it must be possible to write f as the sum of fewer than N functions, each with one crest, with almost disjoint supports. But, then, by the theorem, $Q(z) < N$ for all $z > 0$, a contradiction. Hence, $\#crests(f) > N$.

We prove Theorem 1 by first proving two lemmata. We start by considering the case where f is a decreasing function and use this to bootstrap to the case of a finite number of crests. We note that by $L^1[0, \infty)$ we mean the space of all integrable functions that are zero on the negative axis.

Lemma. *If $f \in L^1[0, \infty)$ is nonnegative and decreasing then*

$$(1) \quad |\widehat{f}(z)| \leq 2 \int_0^{1/z} f(x) dx$$

for all $z > 0$, and 2 is the best constant.

Proof. Since f is decreasing we may adjust it on a set of measure zero so that it is left continuous. In fact, since f is a decreasing element of L^1 we may assume without loss of generality that f is left continuous. Hence, there exists a Borel measure μ such that

$$f(x) = \mu([x, \infty)).$$

Fixing $z > 0$ we write

$$\begin{aligned} \widehat{f}(z) &= \int_0^\infty f(x) e^{-ixz} dx \\ &= \int_0^\infty \int_{[x, \infty)} d\mu(t) e^{-ixz} dx \\ &= \int_0^\infty \int_0^t e^{-ixz} dx d\mu(t) \\ &= \int_0^\infty \frac{1 - e^{-tz}}{iz} d\mu(t), \end{aligned}$$

where the change of order in the integration is justified because $f \in L^1$. Since

$$\left| \frac{1 - e^{-itz}}{iz} \right| = \frac{2|\sin(tz/2)|}{z} \leq 2 \min(t, 1/z)$$

we have

$$|\widehat{f}(z)| \leq 2 \int_0^\infty \min(t, 1/z) d\mu(t).$$

Now, the integral on the right is the integral in (1), because

$$\begin{aligned}
\int_0^{1/z} f(x) dx &= \int_0^{1/z} \int_{[x, \infty)} d\mu(t) dx \\
&= \int_0^{1/z} \int_0^t dx d\mu(t) + \int_{1/z}^{\infty} \int_0^{1/z} dx d\mu(t) \\
&= \int_0^{\infty} \min(t, 1/z) d\mu(t).
\end{aligned}$$

The fact that 2 is the best constant follows from taking $f = \chi_{[0, \pi]}$ and $z = 1$ so that $|\widehat{f}(z)| = 2 = 2 \int_0^{1/z} f$.

Remark. Although the proof above provides the best constant, it might be hard to intuit. We provide a sketch of another proof, whose result once known, provides motivation for the lemma and its proof above. This alternative proof does not provide the best constant.

Let $Sf(z) = \int_0^{\infty} f(x) \sin(xz) dx$ be the sine transform of f . We may write this as an alternating series

$$\begin{aligned}
Sf(z) &= \frac{1}{z} \sum_{k=0}^{\infty} (-1)^k \int_{k\pi}^{(k+1)\pi} f(x/z) |\sin x| dx \\
&= \frac{1}{z} \sum_{k=0}^{\infty} (-1)^k b_k,
\end{aligned}$$

where $b_k = \int_{k\pi}^{(k+1)\pi} f(x/z) |\sin(x)| dx \geq 0$. Since f is decreasing, b_k is a decreasing sequence. Therefore, by a standard alternating series estimate,

$$0 \leq b_0 - b_1 \leq zSf(z) \leq b_0,$$

showing that $0 < Sf(z) \leq \int_0^{\pi/2z} f(x) dx$. This implies that

$$|Sf(z)| \leq \frac{\pi}{2} \int_0^{1/z} f(x) dx.$$

The same technique can be applied to the cosine transform, where the constant in the analogous inequality is $3\pi/2$. Since f is zero on the negative axis, $|\widehat{f}(z)| = |Cf(z) - iSf(z)| \leq \pi\sqrt{10}/2 \int_0^{1/z} f(x) dx$. Here $\pi\sqrt{10}/2 \approx 5$ so this constant is not as good.

Lemma. *If $f \in L^1[0, \infty)$ is nonnegative and crests once at $b > 0$ then*

$$(2) \quad |\widehat{f}(z)| \leq 2 \int_{b-1/z}^{b+1/z} f(x) dx$$

for all $z > 0$.

Proof. We may write $f = g_1 + g_2$ where g_1 is supported in $(-\infty, b]$ and increasing over its support, and g_2 is supported in $[b, \infty)$ and decreasing over its support. If we let $h(x) = g_1(b-x)$ then h is decreasing and we may apply (1) to h to get

$$\begin{aligned} |\widehat{h}(z)| &\leq 2 \int_0^{1/z} h(x) dx \\ &= 2 \int_{b-1/z}^b g_1(x) dx. \end{aligned}$$

Since $\widehat{h}(z) = e^{-ibz} \widehat{g}_1(-z)$, we have $|\widehat{h}(z)| = |\widehat{g}_1(-z)| = |\widehat{g}_1(z)|$. Hence,

$$|\widehat{g}_1(z)| \leq 2 \int_{b-1/z}^b g_1(x) dx.$$

Similarly, we let $h(x) = g_2(x+b)$. Then, h is decreasing and we may apply (1) and the fact that $|\widehat{h}(z)| = |\widehat{g}_2(z)|$ to get

$$|\widehat{g}_2(z)| \leq 2 \int_b^{b+1/z} g_2(x) dx.$$

We apply the triangle inequality to $\widehat{f} = \widehat{g}_1 + \widehat{g}_2$ to finish the proof.

Proof of Theorem 1. We define

$$f_1(x) = \begin{cases} 0, & \text{for } x < 0; \\ f(x) & \text{for } x \geq 0; \end{cases}$$

$$f_2(x) = \begin{cases} 0, & \text{for } x < 0; \\ f(-x) & \text{for } x \geq 0, \end{cases}$$

so that $f(x) = f_1(x) + f_2(-x)$ and $f_1, f_2 \in L^1[0, \infty)$. Let $N_j = \#crests(f_j)$. Since the supports of f_j overlap only at $x = 0$, $N = N_1 + N_2$. Also, there exist functions $f_{j,i}$ such that $0 \leq f_{j,i}(x) \leq f_j(x) \leq f(x)$, $\#crests(f_{j,i}) = 1$, and

$$f_j(x) = \sum_{i=1}^{N_j} f_{j,i}(x).$$

Applying the linearity of the Fourier transform and the fact that the modulus of the Fourier transform of a real function is even, we have $|\widehat{f}(z)| = |\widehat{f}_1(z) + \widehat{f}_2(-z)| \leq |\widehat{f}_1(z)| + |\widehat{f}_2(z)|$. Supposing that the functions $f_{1,i}$ and $f_{2,i}$ have b_i and c_i , respectively, as their cresting points, we have

$$|\widehat{f}(z)| \leq 2 \left(\sum_{i=1}^{N_1} \int_{b_i-1/z}^{b_i+1/z} f_{1,i}(x) dx + \sum_{i=1}^{N_2} \int_{c_i-1/z}^{c_i+1/z} f_{2,i}(x) dx \right)$$

with the help of repeated applications of (2). For any Lebesgue measurable set E , $\int_E f \leq \int_0^{|E|} f^*$, see Bennett and Sharpley [2, p. 44]. Thus,

$$\begin{aligned}
|\widehat{f}(z)| &\leq 2 \left(\sum_{i=1}^{N_1} \int_{b_{i-1}/z}^{b_i+1/z} f(x) dx + \sum_{i=1}^{N_2} \int_{c_{i-1}/z}^{c_i+1/z} f(x) dx \right) \\
&\leq 2 \left(\sum_{i=1}^{N_1} \int_0^{2/z} f^*(x) dx + \sum_{i=1}^{N_2} \int_0^{2/z} f^*(x) dx \right) \\
&\leq 4N \int_0^{1/z} f^*(x) dx,
\end{aligned}$$

finishing the proof of the Theorem 1.

Example. In this example we show that the N in Theorem 1 can not be removed and appears as the correct order of magnitude. Precisely, we show that given $N \geq 1$, there exists a function $f \in L^1[0, \infty)$ with $3N$ crests such that

$$Q(z) = \frac{|\widehat{f}(z)|}{4 \int_0^{1/z} f^*(x) dx} > N$$

for some $z > 0$. We take f to be of the form

$$f(x) = \sum_{k=0}^{\infty} c_k \chi_{[k, k+1]}(x).$$

We let $c_0, c_2, c_4, \dots, c_{2(3N-1)}$ be 1 and we let all other c_k be zero. The Fourier transform of our function is given by

$$\widehat{f}(z) = \frac{1}{z} \sum_{k=0}^{\infty} c_k [\sin(kz + z) - \sin(kz)] - ic_k [\cos(kz) - \cos(kz + z)],$$

and the decreasing rearrangement is given by

$$f^*(x) = \begin{cases} 1 & \text{for } 0 \leq x < 3N \\ 0 & \text{otherwise.} \end{cases}$$

Now, f is a function with $3N$ crests, but if we take $z = (2l + 1)\pi$, $l \in \mathbf{N}$, then

$$\widehat{f}(z) = \frac{-2i}{z} (c_0 + c_2 + c_4 + \dots) = \frac{-6Ni}{z},$$

and if z is also greater than $1/3N$

$$\int_0^{1/z} f^*(x) dx = 1/z.$$

Hence, for large enough $z = (2l + 1)\pi$,

$$Q(z) = \frac{6N/z}{4/z} = 1.5N > N.$$

Application 1. In view of this example, we can use Theorem 2 to estimate the number of roots of the derivative of a function.

Suppose f is a smooth function where $f'(x) = 0$ implies $f''(x) \neq 0$, so that the derivative crosses the x -axis at each of its roots. In this case, the number of crests is equal to the number of local maxima of f . Now, if f is integrable and has N local maxima, then f has at least $2N - 1$ local extrema and f' has at least $2N - 1$ roots. Hence, we may formulate the following application of our theorem.

Corollary. *Suppose $f \in L^1$ is nonnegative, smooth, and $f'(x) = 0$ implies $f''(x) \neq 0$. If $Q(z) > N$ for some $z > 0$ then f' has at least $2N - 1$ real roots.*

Application 2. In this application we show how we can apply the heart of Theorem 1, appearing in inequality (1), to a norm estimate for the Fourier transform. The norm estimate we have in mind is the “two weight problem for the Fourier transform.” Part of this problem is finding functions u and v and a constant C such that

$$(3) \quad \left(\int |\widehat{f}(z)|^q u(z) dz \right)^{1/q} \leq C \left(\int |f(x)|^p v(x) dx \right)^{1/p}$$

for all f where the right hand side is finite and the Fourier transform is suitably defined. Several authors, including Benedetto and Heinig [1]; Heinig and Sinnammon [3]; and Jurkat and Sampson [5] have made sizable inroads, but no general conditions on u and v , both necessary and sufficient, are known.

However, if in (3), we replace the weighted L^p spaces with weighted Lorentz spaces, quite complete results exist, thanks largely to the works of Sinnammon [8] and Benedetto and Heinig [1, p. 18]. The weighted Lorentz spaces $\Lambda_p(w)$ and $\Gamma_p(w)$ are respectively defined to be the set of all nonnegative, measurable functions defined on $[0, \infty)$ such that $\|f\|_{\Lambda_p(w)}^p := \int_0^\infty f^{*p} w < \infty$ and $\|f\|_{\Gamma_p(w)}^p := \int_0^\infty f^{**p} w < \infty$ where $f^{**}(x) = \frac{1}{x} \int_0^x f^*$. Taking $f \in L^1 \cap L^2$ with $p, q \in (0, \infty)$, Sinnammon [8] found necessary conditions and sufficient conditions on nonnegative $u(t)$ and $v(t)$ such that

$$(4) \quad \|\widehat{f}\|_{\Lambda_q(u)} \leq C \|f\|_{\Gamma_p(t^{p-2}v(1/t))}$$

by exploiting the unweighted version of this inequality due to Jodeit and Torchinsky [4, Theorem 4.6]. When $q = 2$ and $0 < p \leq 2$ the conditions that Sinnammon gives are *both* necessary and sufficient [8, section 5]. Benedetto and Heinig [1, p. 18] found necessary and sufficient conditions on u and v such that

$$(5) \quad \|\widehat{f}\|_{\Lambda_q(u)} \leq C \|f\|_{\Lambda_p(v)}.$$

Our corollary below shows that for decreasing functions, the boundedness of a Hardy-type operator implies the two weight Lebesgue inequality (3).

Corollary. *Let $p, q \in (0, \infty)$. Let $f \in L^p(v)$ and suppose $f \in L^1[0, \infty)$ is nonnegative and decreasing. If there exists a constant C such that the weighted inequality for the Hardy operator*

$$(6) \quad \left(\int_0^\infty \left(\int_0^z f(x) dx \right)^q \frac{u(1/z)}{z^2} dz \right)^{1/q} \leq C \left(\int_0^\infty f(x)^p v(x) dx \right)^{1/p}$$

holds, then

$$\left(\int_0^\infty |\widehat{f}(z)|^q u(z) dz \right)^{1/q} \leq C \left(\int_0^\infty f(x)^p v(x) dx \right)^{1/p}.$$

That is, there exists a constant C such $\|\widehat{f}\|_{L^q(u)} \leq C\|f\|_{\Lambda_p(v)}$ for f decreasing.

Necessary and sufficient conditions on u and v such that (6) holds are well known, both in the case of general functions f as well as for decreasing functions f . The case of general functions is due to the work of many authors, one can consult Maz'ja [6] or Benedetto and Heinig [1, p. 6] as references. Sawyer discovered necessary and sufficient conditions such that (6) holds for decreasing functions [7, Theorem 2].

Although the corollary only applies to decreasing functions f , it has the advantage of estimating the $L^q(u)$ norm of the Fourier transform as opposed to the norm of the decreasing rearrangement of the Fourier transform as in (4) and (5). These are, in general, not comparable. This is simply because the decreasing rearrangement defined with respect to Lebesgue measure and the weight function u are incompatible. For example, if we take the function $u(x) = \chi_{(1,\infty)}(x)$ and

$$g(x) = \begin{cases} x, & \text{for } x \in [0, 1]; \\ 2 - x, & \text{for } x \in (1, 2]; \\ 0, & \text{otherwise,} \end{cases}$$

so that $g^*(x) = -.5x + 1$, then $\|g\|_{\Lambda_p(u)} = \|g^*\|_{L^p(u)} < \|g\|_{L^p(u)}$. The corollary provides us with an estimate for $\|\widehat{f}\|_{L^q(u)}$ which may in fact be larger than $\|\widehat{f}\|_{\Lambda_p(u)}$.

Proof of Corollary. By (1) and the fact that f is decreasing we have for $z > 0$

$$|\widehat{f}(z)| \leq C \int_0^{1/z} f(x) dx.$$

Hence, by changing variables and applying the assumption we have

$$\begin{aligned} \left(\int_0^\infty |\widehat{f}(z)|^q u(z) dz \right)^{1/q} &\leq C \left(\int_0^\infty \left(\int_0^{1/z} f(x) dx \right)^q u(z) dz \right)^{1/q} \\ &= C \left(\int_0^\infty \left(\int_0^z f(x) dx \right)^q \frac{u(1/z)}{z^2} dz \right)^{1/q} \\ &\leq C \left(\int_0^\infty f(x)^p v(x) dx \right)^{1/p}. \end{aligned}$$

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Dear Ms. Baldwin:

Below please find the corrections to berndtB0647, “A Pointwise Estimate for the Fourier Transform and Maxima of a Function,” by Ryan Berndt. The first change is one I would like to make upon reflection. Although as it stands it is not incorrect, it is misleading about the topic of the paper. The other changes are fixes that were the result of the typesetting process.

Page 1, line 23. Change

It is a classical result of Dirichlet that if f is a function of bounded variation on the circle, then the Fourier coefficients, $\widehat{f}(n)$, are $O(1/n)$ (and therefore the Fourier series of f converges) [9, p. 128], [10, p. 57].

to

It is a classical result of Dirichlet that if f is a function of bounded variation on the circle, then the Fourier coefficients, $\widehat{f}(n)$, are $O(1/n)$ [9, p. 128], [10, p. 57].

i.e. strike the parenthetical remark

(and therefore the Fourier series of f converges).

Page 2, line 27. Change

Application 3 shows how we may ...

to

Application 1 shows how we may ...

i.e. change the 3 to 1.

Page 2, line 40. The sentence beginning with

If the set above is empty, then we say ...

should be part of Definition 2 immediately above. Hence is should not be indented.

Page 6, line 23 Change

Application 3

to

Application 1

i.e. change the 3 to a 1.

Page 6, line 33 Change

Application 4

to

Application 2

i.e. change the 4 to a 2.

Cordially yours,
Ryan Berndt