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The Paratext to Chrétien de Troyes's Cligés: A Reappraisal of the Question of Authorship and Readership in the Prologue

Levilson C. Reis

Otterbein University, lreis@otterbein.edu

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Levilson C. Reis
Otterbein University, Ohio

Abstract

Starting with the premise that medieval manuscripts exhibit paratextual vestiges of their auctores, redactors, copyists, and readers, this article re-examines the question of authorship and readership in Chrétien de Troyes’s prologue to Cligés (c. 1176–80) through the lens of paratextual references to the implied author’s signature, allusions to possible titles of his previous works, marginal annotations of interpretative readings, and cases of significant manuscript variance. Firmly grounded in the manuscript, editorial, and critical tradition of Cligés, this reading re-evaluates the tripartite thematic structure of the prologue, hypothesizing the paratextual effect that the opening list of literary titles, the suspenseful presentation of the hero, and the authoritative claim for the location of chevalerie (chivalry) and clergie (culture) in France might have had on medieval audiences and may have on modern readers. Exploring the significantly different versions in which two families of manuscripts transmit the same ideas, this reading finally shows how the prologue equivocates and subverts any one definite interpretation and engenders a sense of irony and alterity that captivates the reader and opens the threshold to new interpretations.
After all that has been written about the prologues of Chrétien de Troyes’s romances, only a provocative claim, like the one I make in the title of this piece, could perhaps justify a return to them, especially to that of *Cligès* (c. 1176–80). At the outset, I must admit that invoking the concept of paratext in a manuscript context may indeed appear provocative; but, in defence of such an approach, it can be said that, although manuscripts may not conform to the paratextual paraphernalia one would find in a modern text (such as title, author’s name, book cover, and book jacket), their means of transmission, as Gérard Genette submits, ‘apporte à l’idéalité du texte une part de matérialisation, graphique ou phonique, qui peut induire [. . .] des effets paratextuels’. The signature ‘Crestiens’ that autographs the prologues of Chrétien’s works constitutes an example of the textual construction of authorship that originated the rich critical tradition built around the shadowy figure of Chrétien de Troyes. Early studies of Chrétien’s works tried to situate this textually constructed figure in a historical, cultural, and literary milieu to conjure up an author for a corpus that, despite all efforts, still lacks definitive attribution. The thorny question of authorship rallied specifically around the list of Chrétien’s previous works and the relationship between literary craft and the topos of *translatio studii* in the prologue to *Cligès*. In another strand of criticism, the focus shifted from the concern with the author to the quasi-narratological study of the narrator. This critical orientation turned our attention back to the oral nature of medieval poetics and to the instability of its mode of transmission, which modern editors have attempted to arrest in quest of a definitive text. These critical approaches and editorial choices have brought to light a host of problems, not the least of which remains the application of the modern notion of text (as a closed and finished product) to works
like *Cligés*, which constitute no more than ‘a composite of variants pointing to a more or less faithful attempt to recover what Chrétien originally wrote’. Capitalizing on the open manuscript tradition of *Cligés*, this reading re-examines the question of authorship and readership in the prologue through the lens of paratextual features such as signatures, titles, annotations of interpretative readings, and significant cases of manuscript variance that may influence the reader’s reception and interpretation of the text, whether in medieval or modern contexts.

Previous commentary on the first lines of *Cligés* has belaboured the construction of authorship on the literariness of the prologue and the narrator’s attribution of several vernacular adaptations of Celtic and Latin works to the signature ‘Crestiens’. Of all these references to the implied author’s past literary achievements, only two are actual titles of extant works attributed to Chrétien de Troyes. *Érec et Énide*, for one, has survived in seven manuscripts dating back to the early thirteenth century, and *Philomena*, for the other, has been purportedly preserved in the *Ovide moralisé* (ll. 2217–3684). Although the other references are not recognizable titles, source studies have tentatively identified ‘les comandemanz d’Ovide’ and ‘l’art d’amors’ with Ovid’s *Remedia amoris* and *Ars amatoria*, respectively, ‘le mors de l’espaule’ with the Pelops episode from Ovid’s *Metamorphoses* (VI. 401–11), and ‘Dou roi Marc et d’Iseut la Blonde’ with a lost version of the Tristan and Isolde legend. While these extensive intertextual analyses have contributed to our understanding of the vernacular poetic process, they have done little to help us comprehend the effect that those references may have had on medieval audiences.

What modern editors and researchers have come to identify as title references in the first lines of the prologue have really been the result of modern editorial choices. On the
one hand, these title references may not have been more than allusions. As Paul Zumthor has put it, ‘lors même que cet auteur énumère, au début de Cligès, ses ouvrages antérieurs, il les nomme moins qu’il ne les décrit en exposant leur thème’.9 On the other, one must also consider that some members of aristocratic circles could not read in their own vernacular dialects (not to mention Latin or Greek), and that the understanding of this intricate intertextuality, which the identification of these classical works presupposes, would require a knowledge of Greek and Latin literatures that (lay) readers did not have.10 Medieval audiences generally relied on lectors who read or performed works like Cligès in both private and small, semi-public settings.11

The present re-examination of the question of authorship and readership in the prologue to Cligès takes these conditions of reading into account to recontextualize conceptions of authorship and readership within medieval manuscript culture. This re-examination is predicated on the hypotheses that the medieval reading scenarios of Chrétien’s Cligès differed according to the approximate date of circulation of the manuscripts and their localization (see Table 112), and that none of the manuscripts discussed here is a direct copy of each other. Because individual readers or audiences may not have had access to more than one version of the manuscripts, the ideal, yet impractical, approach would be to provide a contextualized scenario for each and every manuscript. Looking at the manuscripts from another perspective (see the last column of Table 1), it becomes evident that significant changes in codicological features of the manuscripts provide a more useful critical framework, which would narrow down our discussion to two possible scenarios. The first scenario would set our discussion in the context of the two earliest large-format codices — Paris, Bibliothèque nationale de
France (BN), MSS fonds français (f. fr.) 794 and 1450 — which appear to have served as performance copies destined for oral/aural performances in private or semi-public courtly readings. The second scenario focuses on mid- and late thirteenth-century author-based manuscript collections BN, MSS f. fr. 1420 and 12560, also believed to have served as performance copies for courtly readings or as reference copies in a seigniorial library. Despite its two-pronged approach, the discussion will not fail to evince revealing relationships between these author-based collections and diverse compilations such as BN, MSS f. fr. 1374 and 375, which feature only one or two of Chrétien’s romances, including Cligés, but offer alternative points of view that cannot be ignored. This critical framework will not only situate the question of readership in its medieval context but will also shed light on the early formation of a Chrétien corpus, which can contribute a great deal to a new understanding of how early and late thirteenth-century audiences received Chrétien’s work.

<table>
<thead>
<tr>
<th>Manuscript Paris, BN</th>
<th>Siglum</th>
<th>Date 13th century</th>
<th>Region</th>
<th>Basic Relevant Codicology</th>
</tr>
</thead>
<tbody>
<tr>
<td>MS f. fr. 1450</td>
<td>B</td>
<td>2nd quarter</td>
<td>North-east</td>
<td>Historiographical compilation Romans de Troie, d’Énéas, de Brut, Dolopathos É, C, P, C, Y inserted into the Roman de Brut</td>
</tr>
<tr>
<td>MS f. fr. 794</td>
<td>A</td>
<td>2nd quarter</td>
<td>Champagn</td>
<td>Half-literary, half-historiographical compilation É, L, C, Y open the collection as a separate set Athis et Prophiliás, Troie, Brut, Empereurs de Rome, P, FC, SC</td>
</tr>
<tr>
<td>MS f. fr. 1374</td>
<td>S</td>
<td>2nd quarter</td>
<td>South</td>
<td>Literary compilation C only among six other vernacular works</td>
</tr>
<tr>
<td>MS f. fr. 1420</td>
<td>R</td>
<td>mid-century</td>
<td>Île de</td>
<td>Author-based collection É and C</td>
</tr>
<tr>
<td>MS f. fr. 12560</td>
<td>C</td>
<td>mid-century</td>
<td>Champagn</td>
<td>Author-based collection Y, L, C</td>
</tr>
<tr>
<td>MS f. fr. 375</td>
<td>P</td>
<td>3rd quarter</td>
<td>Arras</td>
<td>Anthology C and É within a large variety of works</td>
</tr>
</tbody>
</table>

*É = Érec et Énide; C = Cligés; L = Lancelot; Y = Yvain; P = Perceval, FC = First Continuation, SC = Second Continuation
First Scenario: Reading from BN, MSS f. fr. 794 and 1450

BN, MS f. fr. 794 will provide the source for the first reading scenario not because it has become the base manuscript for a number of editions, but because of its relative early age (around the first to second quarter of the thirteenth century\(^\text{16}\)) and vestiges of bookmarks, which attest, as Stewart Gregory and Claude Luttrell have noted, the importance given to the reading of the manuscripts in this early thirteenth-century large-format codex.\(^\text{17}\) With the story of Cligés in mind, the lector opens the voluminous collection of manuscripts at the third bookmark (fol. 54), as the table of contents on the fourth flyleaf of the volume indicates: ‘Cliget qui welt trover la tierce ensoigne proigne’ (fol. C\(^v\)). As the reading begins, the lector assumes the role of a third-person narrator and starts by listing the previous literary productions of an unnamed author before introducing the subject matter of the romance:

Cil qui fist d’Erec et d’Enide,
Et les comandemanz d’Ovide
Et l’art d’amors an romans mist,
Et le mors de l’ espaule fist,
Del roi Marc et d’ Ysalt la blonde,
Et de la hupe et de l’ aronde
Et del rossignol la muance,
Un novel conte rancomance. (M, ll. 1–8)
He who wrote *Erec and Enide*, who translated Ovid’s *Commandments* and the *Art of Love* into French, who wrote *The Shoulder Bite*, and about King Mark and Isolde the Blonde, and of the metamorphosis of the hoopoe, swallow, and nightingale, begins now a new tale.¹⁸

For medieval audiences, it would not have been unusual to hear a story without an author's name or without a title. Anonymity of the poet and of the work (that is, the lack of a title) was part and parcel of an oral tradition; it was in fact the author-function of medieval poetics.¹⁹ The modern reader, on the other hand, may find it strange that neither the title of the book nor the name of the author is given at the beginning of this manuscript, as Micha’s edition of MS f. fr. 794 faithfully shows, while later transmission of the same romance in MS f. fr. 12560 (around the mid- to third quarter of the thirteenth century²⁰) bears a rubricated incipit, as will be discussed in the second scenario below. For the modern reader, the title, the author’s name, and the complementary question of self-attribution and authorship, which have been associated with the signature ‘Crestiens’ in the prologue to *Cligés*, did not appear in either MS f. fr. 794 or 1450.²¹

The first reference to ‘Crestiens’ does not appear in MS f. fr. 794 or 1450 until after the prologue, when ‘Crestiens comance son conte’ and the story begins (fol. 54r c43; M, l. 43). In the context of storytelling, the signature ‘s’intègre au texte, y remplissant une fonction en quelque sorte publicitaire, créant entre l’auditeur et ce qu’on lui fait entendre la fiction d’une connivence personnelle’.²² The sense of an implied author's presence or persona resulting from the list of works would not normally have raised the medieval reader’s interest in the biographical or literary persona of an implied author. At best, the list of previous works draws attention to the fictionality of the story to come and creates
a contract with the audience. Michelle Freeman makes the point that ‘the immediate listing of the works — whether in point of fact they were all actually written by Chrétien or not […] — serves to situate Cligés and to give the reader entry into its meaning’. The context of Érec et Énide and Ovid’s treatises on the art of love would have probably brought back memories of Érec’s adventures, his marriage to Énide, and the conflict between his knightly and marital duties, foreshadowing an expected tale about a knight’s adventure at Arthur’s court. The references to Ovid’s tale of Procne’s revenge against her husband’s adultery and the Tristan and Isolde legend would, however, add a curious twist to a forthcoming story set in the context of references to married couples (Érec and Énide, and King Mark and Isolde).

Even for a medieval audience familiar with the vernacular translation of the Procne story and the Tristan and Isolde legend, the relationship between these narratives of adultery and the forthcoming story would require further explanation, as would the description of the romance hero as a Greek relative of King Arthur (‘D’un vaslet qui an Grece fu | Del linage le roi Artu’, M, ll. 9–10; ‘of a youth who, in Greece, was of King Arthur’s line’, K, p. 123). The suspense rises to the question of how he was related to Arthur and how this reference may have been construed as an indication of what was to come. Some explanation finally comes forth, as the narrator/lector intervenes, addressing the audience directly to introduce the hero’s father. One learns that his father was so valiant and brave that he had gone from Greece to England, which was then called Britain, to prove himself through chivalrous deeds and become a knight at Arthur’s court:
Mes ainz que de lui rien vos die,
Orroiz de son pere la vie,
Dom il fu, et de quel linage.
Tant fu preuz et de fier corage
Que por pris et por los conquerre
Ala de Grece an Engleterre,
Qui lors estoit Bretaigne dite. (M, ll. 11–17)

But before I tell you anything of him, you will hear about the life of his father — his origins and lineage. He was so valiant and bold of heart that, in order to win fame and glory, he went from Greece and went to England, which in those days was called Britain. (K, p. 123)

This brief initial account of the story of the hero’s father implies that he married a relative of Arthur’s lineage, providing, as Tony Hunt has noted, ‘essential background knowledge’. This broad description of the father is, however, only the prelude to the first part of the romance, which deals with the genealogical history of the hero leading up to his birth: ‘L’anfant apelerent Cligés. | Ce est Cligés an cui mimoire | Fu mise an romans ceste estoire’ (fol. 63r a2344–46; M, ll. 2344–46; ‘[T]he child they called Cligés [. . .], in whose memory this story was composed in the French tongue’, K, pp. 151–52).

The reason why the story of Cligés is relegated to second place is not so evident from the point of view of modern readers, as Norris Lacy has remarked. That may not be the case for medieval readers, as we re-examine the rest of the prologue following the second reading scenario provided by mid-thirteenth-century author-based manuscripts.
Despite the usual dialectical variances, lines 1–13 of the prologue are semantically uniform throughout the manuscript tradition of Cligés. Line 14, which describes the father *grosso modo*, presents the first case of variance in BN, MSS f. fr. 12560 and 375. In opposition to the rather nondescript characterization of the romance hero’s father as ‘preuz et de fier corage’ (M, l. 14; ‘valiant and bold of heart’, K, p. 123) in MS f. fr. 794 (fol. 54r b14), the same descriptive line paints a much more distinctive portrait of the father in MS f. fr. 12560:

\[
\begin{align*}
\text{Tant fu preuz et de haut parage} \\
\text{Que por pris et por los conquerre} \\
\text{Ala de Grece en Engleterre,} \\
\text{Qui lors estoit Breteigne dite.}
\end{align*}
\]

(M–C, ll. 14–17, my emphasis)

He was so valiant and of [high birth\textsuperscript{28}] that, in order to win fame and glory, he went from Greece to England, which in those days was called Britain. (K, p. 123, my emphasis)

For the lectors and audiences of MS fr. 12560 (and 375), the description of the father as a figure of ‘de haut parage’ (M–C, l. 14; ‘high birth/great pomp\textsuperscript{29}’) was not meant to upstage the son’s place as the romance hero. In fact the genealogical account of family lineage was a necessary component of the telling and showing of medieval romances in the context of which Cligés situates itself.\textsuperscript{30} The scene that recounts the father’s arrival at Arthur’s court is illustrative: because of the father’s physical appearance and dress (M–
C, ll. 325–29), King Arthur understood at first glance that the approaching newcomer and his companions were high-born men: ‘Car bien semblez, et je le cuit, | Que vos estes fil de hauz homes’ (M–C, ll. 364–65; ‘You appear to be, and I believe that you are, sons of high-born men’, K, p. 127). Readers may have similarly marvelled at the description of the father’s handsome looks and luxurious clothes when the narrator describes what the hero’s father and his entourage were wearing: ‘Et les robes que il vestoient | D’un drap et d’une taille estoient, | D’un semblant et d’une color’ (M–C, ll. 327–29; ‘The clothes they wore were of identical cloth and cut, of one colour and design’, K, p. 127). This reading of the text corroborates both acceptations of the lesson ‘de haut parage’ in MSS f. fr. 12560 and 375.

Lacking such a comparable description of the hero’s father, the lector and audience of our first scenario could still have associated the description of a brave noble Greek with the magnificent image of Alexander the Great as soon as the narrator named him ‘Alixandres’ (M, l. 57; ‘Alexander’, K, p. 123) and evoked his largesse (M, ll. 396–405). In our second scenario, especially in readings from MSS f. fr. 1420 and 1374, the association of the Greek father with Alexander the Great or the heroes of the flourishing twelfth-century versions of the Roman d’Alexandre may have been even stronger. In MS f. fr. 1374 a reader prefixed the title ‘Le roman d’Alixandre’ in post-medieval script in the upper margin of folio 21v. Likewise, in the upper margin of MS f. fr. 1420 traces of interpretative readings survive in a post-medieval script: ‘Li romant d’Alixandre, fils d’Alixandre empereur de Constantinople, p. 172 et de la belle Sordamours p. 182 et de Clyget leur fils p. 230 et de la belle Phinin p. 238 composé par Cristiens de Troyes p. 171’. These post-medieval summaries with page references indicate that readers
focused on the story of the father and that they associated him with the image of Alexander the Great popularized by twelfth-century versions of the *Romans d’Alexandre*.

These paratextual annotations indicate, moreover, that the image of the magnificent emperor persisted in the literary imaginary of readers well past the medieval period.

This simple variant had also the potential impact on the construction of authorship of the romance, for the story of the father is inextricably linked to the question of the paternity of the text and to a genealogically established tradition. As we resume the reading of the prologue, the focus passes seamlessly from the genealogy of the hero to that of the manuscript source of his romance, leading us to an important variant lesson provided by the manuscripts in this second reading scenario. As the reading continues, the narrator claims:

Ceste estoire trovons escrite,
Que conter vos vuet et retraire,
En .I. des livres de l’aumaire
Mon seignor saint Pere Beauvez.
De la fu cist contes estrez
*Dont cest romanz fist Crestiens.*
*Lí livres est molt ancients*
Qui tesmoigne l’estoire a voire:
Por ce fet ele meulz a croire.
(M–C, ll. 18–26, my emphasis)
This story that I wish to relate to you we find written down in one of the books in my lord St Peter's Library in Beauvais; the tale from which Chrétien fashions this romance was taken from there. The book containing the true story is very old, therefore it is all the more worthy of belief. (K, p. 123)

In the form of a book found in the library of the church of Saint-Pierre in Beauvais, the manuscript source, which underwrites the father’s and the son’s stories, not only contains the ancient materia on which the romance is drawn but also the auctoritas that the classical source text bestows on the vernacular romance. This original manuscript would constitute the authoritative foundation for all the manuscripts of Cligés. Ironically, MS f. fr. 1374 offers a different perspective on the origin of the story, casting doubt on the reader’s chances to verify the source. In this manuscript, the very book on which Crestiens based his romance was purportedly removed from the library: ‘De la fu cist liures retraiz’ (fol. 21r a22).35 It is also possible, as history informs us, that the original manuscript was burned in a fire that destroyed Saint-Pierre in 1180 and was no longer available.36 While the attribution of the father’s story to an unnamed Latin written source would guarantee the authoritative account of the father’s life and that of the son, the lesson of MS f. fr. 1374, which points to the disappearance of the book, brings the authority of the original manuscript into question, if only to assert the narrative craft of its vernacular composer, as Sharon Kinoshita has perceptively noted:

Both in his acknowledgement of his source and in his attention to the adventures of Alexander, Chrétien’s apparent concern with paternity in fact functions, like the topoi of translatio, to establish an ambivalent filiation that
concedes the importance of the old, all the better to assert the superiority of the new. Consider, for example, the fact that Saint Peter's of Beauvais, the library putatively housing Chrétien's source, burned in 1180. If we conjecture that Cligès was composed after this event, then the destruction of the Latin text, surviving now only as a vanished subtext, authorizes Chrétien's work while in fact liberating him from the tyranny of traditional authority altogether.37

This lesson from MS f. fr. 1374, the likelihood that the original manuscript burned in the library fire, and Kinoshita's implications of such a loss not only offer another terminus a quo for Cligès (as Favati had already argued38), but, most importantly, they relocate the originality of the romance in Chrétien's craftsmanship. Right after its reported disappearance, Chrétien emerges as the redactor of the romance: 'De la fu cist liures retraiz | Dont cest romanz fist Crestians. | Li liures est ml't anciens' (MS f. fr. 1374, fol. 21’ a22–24; The book from which Chrétien fashions this romance was removed from there [the Beauvais Church library]. The book containing the true story is very old, my translation). Line 23 is part of a couplet that first appeared in column a, lines 23–24 of the prologue to Cligès in MSS f. fr. 1420 (fol. 30’), 12560 (fol. 83”), 1374 (fol. 21”), and 375 (fol. 267”). That couplet was not originally in MS f. fr. 794 or 1450. The appearance of this self-tribution of the redaction of Cligès contributes greatly to the early (medieval) formation of a Chrétien corpus.39 Not only does the signature ‘Crestiens’ in Cligès establish for the first time a connection with the signature ‘Crestiens de Troies’ in the prologue to Érec et Énide,40 but it also associates the term ‘roman’ not with a ‘vernacular
translation’ as it appears in the opening lines of the prologue to Cligés (‘[…] en romanztist’, M–C, l. 3) but, as Kelly has noted, with a literary genre — the romance.41

MS f. fr. 12560 in particular contributed even further to the formation of a Chrétien corpus by distinguishing itself as the first one of Chrétien’s manuscripts to bear rubricated incipits identifying their contents as ‘romances’.42 The addition of titles coincides with a change in the scribal practice of writing below the top ruled line, to which N. R. Ker first brought attention in an attempt to establish that in thirteenth-century manuscripts ‘up to a certain period every page of writing is, as it were, open at the top: there is nothing but the margin above the first line of writing’, but some time after the mid-thirteenth century, up-to-date scribes started to write ‘below top line’.43 The top ruled line would thus literally create a space for the inscription of incipits in Chrétien’s works in MS f. fr. 12560 for the first time. According to Terry Nixon, this is the ‘earliest Chrétien manuscript written below the top line’.44 In light of the emergence of these elements of mise en page, I would argue that the authorial figure that emerges in Chrétien’s works evolved as a result of changes in manuscript culture, despite the claim of translatio studii et imperii.

The authorial image of authorship that Chrétien claims for himself is affiliated with a glorious classical (written) tradition and ensured by the principle of translatio studii et imperii, as the narrator authoritatively states in the following lines:

Par les livres que nous avons
Les faiz des anciens savons
Et dou siecle qui fu jadis.
Ce nos ont nostre livre apris
Que Grece ot de chevalerie
Le premier los et de clergie,
Puis vint chevalerie a Rome
Et de la clergie la somme,
Qui or est en France venue.
(M–C, ll. 27–35)

Through the books we have, we learn of the deeds of ancient peoples and of bygone days. Our books have taught us that the chivalry and learning first [belonged to] Greece; then to Rome came chivalry and the sum of knowledge, which now has come to France. (K, p. 123)

Previously considered historically disconnected, the topoi of *studium* (bookish learning) and *imperium* (imperial power) resurge in this last section of the prologue as an integrated historical migration from East to West in the form of *chevalerie* (chivalrous knighthood) and *clergie* (clerical culture), medieval concepts derived from the twinned topos of the *translatio studii et imperii*.46 Tellingly, *chevalerie* takes the front seat in the *translatio*, yet the manuscript seems to equivocate as to whether it ultimately came to France. The last two lines of this section seem to indicate that only *clergie* finally came to reside in France, especially if one considers ‘nostre livre’ (M–C, l. 30; ‘our books’, K, p. 123) as evidence to the fact.47 Although *clergie* (as the skilful conjoining of the classical and the vernacular) has often been privileged in literary interpretations of this passage, it could be argued that the account of our heroes’ chivalric pilgrimages from Constantinople to Arthur’s court gives equal importance to the topos of *chevalerie*.

Whether *chevalerie* or *clergie* found a home in France is not as significant as the
alternative reading that MSS f. fr. 794 and 1450 offer on the question of the origin and location of the twinned topoi.

While lines a31–32 of MS f. fr. 12560 (fol. 83’, as well as those same lines in the other manuscripts of its group48) identify Greece as the origin of chevalerie and clergie, lines b29–30 of MS f. fr. 794 (fol. 54’) and lines b28–29 of 1450 (fol. 188”) subtly sublate the claim that Greece was their original location with the counterpoint that the Greeks were (only) the first to recognize their value (‘Qu’an Grece ot de chevalerie | Le premier los et de clergie’ (M, ll. 29–30; ‘Chivalry and learning first flourished in Greece’, K, p. 123, my emphases).49 Although the variance between these two versions is minimal, its semantic significance is considerable. The locative prepositional phrase ‘an Grece’ makes remarkable contrast side by side with the subject position of ‘Greece’. As an adverbial phrase of the subordinate relative clause in MS f. fr. 794, Greece situates itself as the first location of chevalerie and clergie and not as their point of origin.

Similarly, right after the migratory concept of translatio is introduced, the lesson maintenue, present in MS f. fr. 794 (and in 1420), further casts in doubt the passage of chevalerie and clergie from Greece, to Rome, and to France specially in relation to MSS f. fr. 12560, 1374, and 375, represented here by Méla and Collet’s edition of MS f. fr. 12560:

<table>
<thead>
<tr>
<th>BN, MS f. fr. 12560</th>
<th>BN, MS f. fr. 794</th>
</tr>
</thead>
<tbody>
<tr>
<td>Dex doint qu’ele i soit retene</td>
<td>Dex doint qu’ele i soit maintenue</td>
</tr>
<tr>
<td>Tant que li leus li embelisse</td>
<td>[...]</td>
</tr>
<tr>
<td>Si que ja mais de France n’isse</td>
<td>(M, l. 34, my emphasis)</td>
</tr>
<tr>
<td>L’ennors qui s’i est arestee.</td>
<td></td>
</tr>
</tbody>
</table>

The Paratext To Chrétien De Troyes’s Cligès
Dex l’avoit as altres prestee,
Que des Grezois ne des Romains
Ne dit en mais ne plus ne mains,
D’eus est la parole remese
Et esteinte la vive brese.

(M–C, ll. 36–44, my emphasis)

The variant *maintenue* may suggest that, even before their putative migration from Athens to Rome to Paris, *chevalerie* and *clergie* (or the latter alone, as the pronoun ‘ele’ on l. 34 may imply) had already been firmly established in France. In their edition of *Cligés*, based on MS f. fr. 794, Gregory and Luttrell explain their adoption of the lesson *retenue* from the other manuscripts because ‘[l]a leçon *maintenue* (mss. AR) trahit l’image développée par le poète, où *de la clergie la some* est traité d’entité migratrice venue en France, et le désir exprimé par ce vers, c’est que cette hôte étrangère soit persuadée d’y rester’.50 This statement reads *en filigrane* that *clergie* would be more likely associated with *retenir*, although it would be difficult to corroborate such a statement, since *clergie* ‘is rarely evoked in Chrétien’s romances’.51 Godefroy’s *Dictionnaire de l’ancienne langue française* does attest the use of *maintenir* (as ‘conserver’, ‘garder’, and ‘s’occuper de’) in the context of a certain type of endeavour (‘mestier’ and ‘œuvre’).52 We know enough about the self-proclaimed scribe Guiot of MS f. fr. 794 to posit that he believed that *clergie* (*qua* clerkly culture) was well established in France (in Provins, Champagne), as his colophon at the end of his copy of *Yvain* advertises: ‘Cil qui l’escrist guioz a non | Devant nostre dame de val | Est ses ostex tot a estal’ (fol. 105r c; ‘He who wrote it is named Guiot, and his market stall is located in front of Notre-Dame-du-Val’, my
The Paratext To Chrétien De Troyes’s *Cligés* (translation). Moreover, the use of the variant *maintenue* in lieu of the predominant lesson *retenue* expresses the wish in line 36 that it be maintained, supported, or patronized, all acceptations of the verb *maintenir*.53

The verb *retenir*, on the other hand, is used specifically in the context of *chevalerie* in MS f. fr. 794. When Alexandre arrives at Arthur’s court and offers his services to the king, he refers to himself as *chevalerie* incarnate: ‘Se vos tant mon servise amez | Que chevalier me vuilliez faire, | Retenez moi, rois debonaire’ (M, ll. 350–52; ‘If you esteem my service highly enough to make me a knight, then retain me, gentle king’, K, p. 127, my emphases). It appears that Alexander did not bring *chevalerie* along with him, for it was already well established in the West, as evidenced by his reference to the ‘renomee’ of Arthur’s court and his fervent desire to be knighted by King Arthur himself: ‘Rois, li renons qui de vos cort | M’a amené a vostre cort | Por vos servir et enorer’ (M, ll. 341–43; ‘My lord king, your widespread fame has brought me to your court to serve and honour you’, K, p. 127).54 Before he died, Alexander had exhorted his son Cligés to measure himself against the Britons and the English (M, ll. 2565–70).55 He takes his father’s advice and during the Oxford Tournament defeats all the great knights of the Round Table. After accomplishing many chivalric exploits in Britain, France, and Normandy, Cligés, the new titleholder of *chevalerie*, decides to return to Greece, and even Arthur cannot retain him: ‘[…] molt pesa, si con je croi, | Mon seignor Gauvain et le roi, | Quant plus nel pueent retenir’ (M, ll. 5027–29, my emphasis; ‘It was very sad for my lord Gawain and the king, I believe, not to be able to detain him any longer’, K, p. 185). This evidence further indicates that, from the point of view of MS f. fr. 794 in particular, it was imperative to ‘maintain’ *clergie*, for *chevalerie* was difficult to retain.
The last verses of the prologue further conspire to dismiss chevalerie and clergie as a heritage come down from the Greeks and the Romans. The manuscript enlists God as the ultimate source of clergie and states that He had only lent it to the Greeks and Romans. The prayer that precedes the final verses of the prologue, which verge on the question of secular decadence (the topos of finis saeculi\textsuperscript{56}), wishes that chevalerie and clergie never leave France. In the case of chevalerie, a ‘French’ Cligés ‘[v]estuz a guise de François’ (M, l. 4934; M–C, l. 4926; ‘dressed […] in the French style’, K, p. 184) seems to be the answer to that prayer, as the knights of the Round Table recognize, echoing the last lines of the prologue evoking the burning ambers of Greek and Roman cultures: ‘[a]ussi s’estaignent et abaissent | Nos proeces devant les voz’ (M–C, ll. 4948–49; ‘so our fame fades and dwindles before yours’, K, p. 184).

Conclusions

This paratextual reading of the prologue of Cligès leads us to draw several conclusions. First, it corroborates previous interpretations of the prologue as an ironic point of entry that destabilizes and decentres any one particular program of reading: anonymity and/or authorial self-consciousness, antiquity and/or modernity, paternity and/or filiation, Greco-Byzantine and/or Arthurian culture, translatio studii and/or translatio imperii, chevalerie and/or clergie. The variance between manuscripts, as we have seen, accentuates these semantic, structural, and axiological double takes considerably. Second, this sense of instability informs not only the medieval storyteller’s reliance on narrative strategies that produce an ironic connivance between an implied author or narrator and his audience but also the uncanny alterity that medieval manuscript culture awakens in the
modern reader. The great deal of interest and suspense that the prologue undoubtedly aroused in the medieval reader stems from the suspenseful strategies of vernacular poetics itself. As a rule, the lack of titles, the playful postponement of the author’s name, the suspenseful deferment of the romance hero’s identity, the narratorial interventions, and the relentless ironic complicity between the (not-yet) text, its author/redactor, narrator/lector, and its listener/reader were staple attributes of medieval oral performances.

For the modern reader, the ironic axiological structure that reigns throughout the prologue, and the sense of alterity that emerges, can be explained by the open and rich nature of the manuscript tradition of *Cligés*, which exhibits a great deal of mouvance.57 The variance through which the two families of manuscripts discussed here transmit the same ideas and their ultimate significance may have been motivated by the historical and local contexts of the manuscripts themselves. The codicological structures and features that would consolidate the Chrétien corpus are most evident in the canonical stance that MS f. fr. 12560 adopted in contrast to the less canonical, perhaps downright subversive, version of the earlier MSS f. fr. 794 and 1450. The latter reflects perhaps the earlier state of a manuscript culture, which evolved and led to the ‘entitlement’ (in both senses of the term) of a new genre with a certain degree of identity, as the incipit of *Cligés* in MS f. fr. 12560 testifies. These paratextual and textual inconsistencies of the medieval manuscript tradition of *Cligés*, which modern editors have tried to control or eliminate under good intentions, need to be maintained and reflected in readings of such works so that modern readers may really be able to understand medieval works like *Cligés*. The
sense of instability and strangeness that they may cause is minimized by the richness and joy that such readings offer to the reader at the threshold of a marvellous fictional world.
Endnotes

I should like to express my gratitude to Professor Lori Walters at Florida State University for introducing me to Chrétien de Troyes and the study of his works in their manuscript context. 1 Because of veiled textual allusions to contemporary historical events, the period 1170–76 has been widely accepted as the *terminus a quo* for *Cligés*, as initially proposed by Stefan Hofer in ‘Streitfragen zu Kristian: eine neue Datierung des *Cligés* und der übrigen Werke Kristians’, *Zeitschrift für französische Sprache und Literatur*, 60 (1936–37), 441–55 (pp. 441–43), and later by Anthime Fourrier in ‘Encore la chronologie des œuvres de Chrétien de Troyes’, *Bulletin bibliographique de la Société Internationale Arthurienne/Bibliographical Bulletin of the International Arthurian Society*, 2 (1950), 69–88 (p. 51). More recently, scholars have suggested a date after 1180: see Guido Favati, ‘Le *Cligès* de Chrétien de Troyes dans les éditions critiques et dans les manuscrits’, *Cahiers de civilisation médiévale (Xe–XIIe siècles)*, 10 (1967), 385–407 (p. 406); and Sharon Kinoshita, ‘The Poetics of *Translatio*: French-Byzantine Relations in Chrétien de Troyes’s *Cligés*’, *Exemplaria*, 8.2 (1996), 315–54 (p. 335 n. 48). For further discussion of this alternative date see below in this article.


3 I borrow this characterization of Chrétien from Sarah Kay, ‘Who Was Chrétien de Troyes?’, Arthurian Literature, 15 (1997), 1–35 (p. 25).


5 See, for example, Freeman, ‘Chrétien’s Cligés’; Michelle Freeman, The Poetics of ‘Translatio studii’ and ‘Conjointure’: Chrétien de Troyes’s ‘Cligés’ (Lexington, KY: French Forum, 1979); Michelle Freeman, ‘Cligés’, in The Romances of Chrétien de Troyes: A
symposium, ed. by douglas kelly (lexington, ky: french forum, 1985), pp. 89–131; and
karl d. uitti, ‘chrétien de troyes’s cligès: romance translatio and history’, in
conjunctures, ed. by busby and lacy, pp. 545–57. for a recent re-evaluation of the topoi of
translatio studii et imperii see kinoshita, ‘the poetics of translatio’; and sharon kinoshita,
‘chrétien de troyes’s cligès in the medieval mediterranean’, arthuriana, 18.3 (2008), 48–61.

marie-louise ollier, ‘the author in the text: a study of the prologues of chrétien de
de Troyes, ed. by kelly, pp. 13–47; Yves Ferroul, ‘Propédeutique à une lecture du prologue
Philosophical Society, 1988), pp. 59–110; Anna Kukułka-Wojtasik, ‘chrétien de troyes —
créateur et poète: les manifestations du je et leur valeur’, in l’Effet auteur’ au Moyen Âge:
actes du colloque d’Amiens (mars 2001), ed. by Danielle Buschinger (Amiens: Presses du
Centre d’études médiévales, Université de Picardie-Jules Verne, 2003), pp. 78–85.

7 douglas kelly, ‘Preface’, in Romances of Chrétien de Troyes, ed. by kelly, pp. 11–12.
owing to the large number of variants in the manuscripts of cligès, Margot van Mulken has
concluded that ‘the Cligès is not a closed, but an open manuscript tradition’; van Mulken,
‘The Manuscript Tradition of the Cligès of Chrétien de Troyes: A Stemmatological
Approach’, in Studies in Stemmatology II, ed. by Pieter van Reenen, August den Hollander,
8 *Ovide moralisé*, ed. by Cornelis de Boer, 5 vols (Amsterdam: Johannes Müller, 1915–38), II, 337–66.


15 In the subsequent discussion, unless reference to a specific manuscript version of *Cligés* is called for, quotations will be taken from the following editions: for the manuscripts informing the first reading scenario (based on BN, MS f. fr. 794), *Les Romans de Chrétien de Troyes édités d’après la copie de Guiot (Bibl. nat. fr. 794)*, ed. by Alexandre Micha, ii: *Cligés* (Paris: Champion, 1957), designated ‘M’; and, for the second scenario (based on BN, MS f. fr. 12560), *Cligés*, ed. by Charles Méla and Olivier Collet, in Chrétien de Troyes,

16 Wendelin Foerster dated this manuscript to the beginning of the thirteenth century (Christian von Troyes sämtliche Werke nach allen bekannten Handschriften, ed. by W. Foerster and Alfonso Hilka, 5 vols (Halle: Niemeyer, 1884–1932), I: Cligés (1884), i–lxxvi (p. xxvii)), while Micha dated it to the mid-thirteenth century (Tradition manuscrite, p. 33).


19 On both points see Zumthor, Essai, p. 67–68; on the anonymity of the author see Daniel Poirion, ‘Introduction’, in Œuvres complètes, ed. by Poirion, pp. ix–xliii (p. x). In terms of anonymity as the equivalent of our modern concept of author-function see Kay, ‘Who Was Chrétien de Troyes?’, pp. 32–33 n. 100.
20 While Micha (Tradition manuscrite, p. 38) and Philippe Walter (‘Note sur le texte et sur la traduction’, in Œuvres complètes, ed. Poirion, pp. 1131–36 (p. 1132)) loosely date this manuscript to the thirteenth century, Méla and Collet date it to the third quarter of the thirteenth century (‘Établissement du texte’, in M–C, pp. 287–89 (p. 287)), as do Gregory and Luttrell (‘Introduction’, in Cligès, p. xiii).

21 Michelle Freeman (‘Chrétien’s Cligès’, p. 91; Poetics of ‘Translatio studii’ and ‘Conjointure’, p. 26) noted that it was unusual that the signature ‘Crestiens’ did not appear in MS f. fr. 794 and (erroneously) in MS f. fr. 1420 (rather, in MS f. fr. 1450, as she correctly notes later in Poetics, p. 178 n. 7). In his 1884 edition of Cligès, Foerster had already indicated that the lines that contained the reference to ‘Crestiens’ in the prologue were missing in MSS f. fr. 794 and 1450 (Sämtliche Werke, I, 1). So did Gregory and Luttrell in their 1993 edition of Cligès (p. 1).

22 Zumthor, Essai, p. 65.


26 Lacy, *Craft of Chrétien de Troyes*, p. 81.

27 Focusing on the later reception of *Cligés* via author-based collections, this second scenario focuses primarily on BN, MS f. fr. 12560.

28 None of the English translations of *Cligés* has taken this variant into account. ‘De haut parage’ could also be translated as ‘noble birth’ according to the *Old French–English Dictionary*, ed. by Alan Hindley, Frederick W. Langley, and Brian J. Levy (Cambridge: Cambridge University Press, 2006), p. 467.

29 While Méla and Collet translated ‘de haut parage’ into modern French as ‘de si haute naissance’ (M–C, p. 291), the former could also mean ‘of great pomp’. The two acceptations of the word ‘parage’ are attested in the *Dictionnaire de l’ancienne langue française*, ed. by Frédéric Godefroy, 10 vols (Nendeln: Kraus Reprint, 1969), v, 736–38. Freeman points out that ‘parage may mean both parenté and ornement, two themes of importance in *Cligés*, of greater importance, perhaps, than fier corage’ (*Poetics of ‘Translatio studii’ and ‘Conjointure’*, p. 178 n. 6); yet, the relative importance of the double meaning of ‘parage’ remains unexplained in Freeman’s note. One possible interpretation comes from the practice of twelfth-century aristocracy to sign its social status through sartorial signifiers; see Howard Bloch, *Etymologies and Genealogies: A Literary Anthropology of the French Middle Ages* (Chicago: University of Chicago Press, 1983), p. 75.

30 Bloch, *Etymologies and Genealogies*, pp. 75–82.

32 For a published reproduction of fol. 21v of BN, MS f. fr. 1374 see Figure 76 in *Manuscrits de Chrétien de Troyes*, ed. by Busby and others, II, 411. See also Micha’s comments on this title in his description of this manuscript (*Tradition manuscrite*, p. 42).

33 For a published reproduction of fol. 30v of BN, MS f. fr. 1420 see Figure 82 in *Manuscrits de Chrétien de Troyes*, ed. by Busby and others, II, 417. See also Micha’s description of these subject headings (*Tradition manuscrite*, pp. 34–35).


35 Here I profit from Guido Favati’s discussion of this variant. He notes that ‘à l’origine on n’y lisait pas *estraiz*, mais bien *retraiz*, et qu’ensuite seulement quelqu’un a exponctué *r*, et introduit un petit *s* à mi-hauteur entre *e* et *t*’ (‘Le *Cligès* de Chrétien de Troyes’, p. 406).

36 For the historical references to the 1180s fire at Saint-Pierre, the cathedral of Beauvais, see Pierre Louvet, *Histoire et antiquités du diocèse de Beauvais*, 2 vols (Beauvais: G. Valet, 1631–35), II, 308; Charles Delettre, *Histoire du diocèse de Beauvais*, 3 vols (Beauvais: Desjardins, 1842–43), I (1842), 61, II (1843), 174; and Victor Leblond, *La Cathédrale de*

37 Kinoshita, ‘Poetics of Translatio’, p. 335.


39 Leivilson C. Reis, ‘Odd Couple(t)s: (The) Guiot (Copy) and (the) Chrétien (Corpus),

40 Érec et Énide, ed. by Jean-Marie Fritz, in Chrétien de Troyes, Romans, ed. by Zink, l. 9.


44 Nixon, ‘Catalogue of Manuscripts’, p. 7 n. 8. This new practice may explain the diverse later addition of titles in other manuscripts of Cligès, such as the addition of incipits to MS f. fr. 1450 in the thirteenth century and, again, modern titles in the fifteenth century; the inscription of post-medieval titles in the upper margins of MSS f. fr. 1374 and 1420, as discussed earlier; and the titling of works in the turn-of-the-century anthology MS f. fr. 375, contemporary with the manuscript (see ibid., pp. 31, 38, 42, 65).

45 To account for the meaning of ll. 31–32 more precisely, I borrowed the wording ‘belonged to’ from William W. Comfort’s translation of Cligès in Chrétien de Troyes, Arthurian Romances (London: Dent, 1967). Comfort’s original passage reads: ‘Our books have
informed us that the pre-eminence in chivalry and learning once belonged to Greece’ (p. 91, my emphasis).


47 Chrétien de Troyes, Cligès, ed. by Rousse, pp. i–xxx (p. vii).

48 Specifically, lines a31–32 in MS f. fr. 1420, fol. 30r; MS f. fr. 1374, fol. 21v; and MS f. fr. 375, fol. 267v.

49 With slight dialectical differences, the line b28 of MS f. fr. 1450, fol. 188v, reads the same (as in line b29 of MS f. fr. 794, fol. 54): ‘Qu’en Gresse ot de cevalerie.’

50 Cligès, ed. by Gregory and Luttrell, p. 245 n. 36.


52 Dictionnaire de l’ancienne langue française, ed. by Godefroy, v, 84.
53 For the various acceptations of *maintenir* see the *Altfranzösisches Wörterbuch*, ed. by Adolf Tobler and Erhard Lommatzsch, 11 vols (Berlin: Weidmann [vols 1–2]; Wiesbaden: Steiner [vols 3–11], 1925–), v (1963), 841–49, or the *Dictionnaire de l’ancienne langue française*, ed. by Godefroy, v, 84–85.


55 In all the other manuscripts (except MSS f. fr. 794 and 375), Cligés must measure up to the Britons and the French (‘Et as Bretons et as Français’, M–C, l. 2566).

56 On the relationship between the topoi of the *translatio* as progress and the *tempus senescit* as decadence see Boutet, ‘De la *translatio imperii* à la *finis saeculi’*, p. 38.

57 According to Zumthor, the term *mouvance* designates “‘le caractère de l’œuvre qui, comme telle, avant l’âge du livre, ressort d’une quasi-abstraction, les textes concrets qui la réalisent présentant, par le jeu de variantes et remaniements, comme une incessante vibration et une instabilité fondamentale’” (*Essai*, p. 507).